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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/668,077
Filing Date	September 22, 2003
First Named Inventor	K.T. Venkateswara Rao
Art Unit	3731
Examiner Name	Unassigned
Total Number of Pages in This Submission: 9	Attorney Docket Number: 50623.409

ENCLOSURES *(check all that apply)*

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☒ Certificate of Mailing
- ☒ Postage Paid Return Postcard
- ☒ Deposit Account 07-1850 Authorization
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☒ Power of Attorney By Assignee of Entire interest (2 pages)
- ☒ Notice of Change of Address (1 page)
- ☐ CD, Number of CD(s) _____
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) *(please identify below)*:

 Copies of Notice of Recordation of Assignment Document, Recordation Cover Sheet and Assignment (5 pages)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Cameron K. Kerrigan, Reg. No. 44,826
Signature	
Date	June 8, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Rebecca M. Klits		
Signature	Rebecca M. Klits	Date	June 8, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Examiner: Unassigned

K.T. Venkateswara Rao Art Unit: 3731

Serial No.: 10/668,077

Filed: September 22, 2003

For: Drug-Eluting Stent And Methods Of Making Same

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

Sir:

The above-identified assignee hereby revokes all powers of attorney previously given and appoints hereby the following attorney(s) and/or agent(s) to prosecute the above-identified application and to transact all business in the United States Patent and Trademark Office in connection therewith:

Marc A. Sockol, Reg. No. 40,823; Vidya R. Bhakar, Reg. No. 42,323; Cameron Kerrigan, Reg. No. 44,826; Charles E. Runyan, Reg. No. 43,066; Paul J. Meyer, Jr., Reg. No. 47,791; Brian S. Boyer, Reg. No. 52,643; Mark Lupkowski, Reg. No. 49,010; Aaron Wininger, Reg. No. 45,229; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Earl A. Bright II, Reg. No. 37,045; Ronald D. Devore, Reg. No. 39,958; Tim Kitchen, Reg. No. 41,900; Philip Yip, Reg. No. 37,265; Victoria Nicholson, Reg. No. 47,823.

Please address all correspondence and telephone calls regarding this application to:

Cameron Kerrigan
SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, California 94111-3492
Telephone: 415.954.0323

ASSIGNEE CERTIFICATION UNDER 37 CFR 3.73(b)

The undersigned representative of the above-identified assignee certifies that the above-identified assignee is the assignee of the entire right, title and interest in the above-identified patent application by virtue of a chain of title from the inventor of the above-identified patent application to the above-identified assignee as shown below:

1. From: K.T. Venkateswara To: Advanced Cardiovascular Systems, Inc. A copy of the Notice of Recordation, Assignment and Recordation Cover Sheet is enclosed herewith.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the above-identified assignee.

June 4, 2004
Date

By: Thomas R. Peterson
Vice President, Secretary and General Counsel

JUN 0 2004

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FULWIDER PATTON LEE & UTECHT, LLP
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 05, 2004

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FULWIDER PATTON LEE & UTECHT, LLP
JOHN S. NAGY
6060 CENTER DRIVE
HOWARD HUGHES CENTER, 10TH FLOOR
LOS ANGELES, CA 90045

ACS-63118

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/08/2003

REEL/FRAME: 014572/0319
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
RAO, K. T. VENKATESWARA

DOC DATE: 09/18/2003

ASSIGNEE:
ADVANCED CARDIOVASCULAR SYSTEMS, INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 10668077
PATENT NUMBER:

FILING DATE: 09/22/2003
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ACS 63118 (3624X)

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp.5/31/2002)
P08/REV03

10/14/2003

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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JUN 0 2004
OFFICE OF PUBLIC RECORDS

2003 OCT -8 AM 9:55
FINANCE SECTION

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

K. T. Venkateswara Rao

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.

Internal Address:

Street Address: 3200 Lakeside Drive

City: Santa Clara State: CA ZIP: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: September 18, 2003

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/668,077

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Nagy FULWIDER PATTON LEE &

Internal Address: UTECHT, LLP

Howard Hughes Center, 10th Floor

Street Address: 6060 Center Drive

10/10/2003 DBYRNE 00000218 10668077
01 FC:8021 40.00 OP

City: Los Angeles State: CA ZIP: 90045

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-2425

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa A. Karczewski — Name of Person Signing

Signature

October 7, 2003 — Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

This Assignment made by K. T. Venkateswara Rao of Santa Clara, California, Assignor, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California.

WHEREAS, Assignor has invented a new and useful DRUG-ELUTING STENT WITH METHODS OF MAKING SAME for which an application for United States Letters Patent has been executed by her this day; and

WHEREAS, Assignor believes herself to be the original and first inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, she and her legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and

throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that she has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written herein below.

Assignor:

Date: Sept 18, 2003

K. T. VENKATESWARA RAO

JUN 0 2004
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Examiner: Unassigned

K.T. Venkateswara Rao Art Unit: 3731

Serial No.: 10/668,077

Filed: September 22, 2003

For: Drug-Eluting Stent And Methods Of Making Same

Commissioner for Patents
Washington, D.C. 20231

NOTICE OF CHANGE OF ADDRESS

Dear Sir/Madam:

Please direct all correspondence with respect to the above-identified patent to the undersigned at this address:

Cameron Kerrigan
SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, California 94111-3492
Telephone: 415.954.0323

Respectfully submitted,

Date: June 8, 2004

Cameron K. Kerrigan
Reg. No. 44,826